

WOA-SVM: Whale Optimization Algorithm and Support Vector Machine for Hyperspectral Band Selection and 2D Images Feature Selection

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Abstract. This paper proposes a new optimization based framework for feature selection and parameters determination of support vector machine, called WOA-SVM and it is applied on band selection in hyperspectral images and feature selection in 2D images. The proposed approach WOA-SVM is based on Whale Optimization Algorithm (WOA), which is a meta-heuristic inspired from the social behaviors of humpback whale and never been benchmarked in the context of feature selection nor parameters determination. A new fitness function is designed. WOA-SVM is tested with three hyperspectral images widely used for band selection and classification. Note that one of the problems in hyperspectral image classification research is the identification of informative bands (band selection). In addition, we demonstrate the efficiency of the proposed approach on Mammographic Image dataset (MIAS). The experimental results prove that the proposed approach is high performance and very competitive approach. The WOA-SVM approach is useful for parameter determination and feature/band selection in SVM.

Keywords. Support vector machine, whale optimization algorithm, cancer diagnosis, parameters determination, feature selection.

1 Introduction

Recently, feature selection has been a primordial step for classification in many domains (images

classification, DNA microarray for cancer diagnosis, hyperspectral images classification, etc.). It aims to select the informative and relevant features from a dataset by removing the redundant and irrelevant features [3, 2]. In 2D images classification, feature selection represents the step after the feature extraction and is used to select the optimal subset of features to improve the classification accuracy rate [27, 23].

In the last years, hyperspectral image classification has been an important research field in various applications. The main aim of hyperspectral image classification is to classify each pixel into a specific label. We recall that the hyperspectral image consists of hundreds of spectral bands and each pixel of the image is acquired in light intensity and a large number of spectral bands. Unfortunately, hyperspectral image classification is a very difficult task. The difficulty resides in the fact that we have a reduced number of labeled pixels versus a large number of bands, which cause the Hughes phenomenon.

Hughes phenomenon is a major problem in hyperspectral images classification. This problem is known as the curse of dimensionality caused by the highly correlated and irrelevant bands.

Band selection is a primordial step in hyperspectral image classification. It attempts to select the relevant band by removing the

highly correlated and the irrelevant bands without affecting the accuracy of the classification. In other terms, the goal is to select the optimal subset of bands that represents the informative subset and allows achieving a high classification accuracy rate [9, 11]. Several works have been done in the context of the hyperspectral image classification and band selection. In [15], the authors proposed a new band selection approach based on gray wolf optimizer, which is a new optimization approach. The experimentation is conducted on three hyperspectral images, Salinas, Indian Pines and Pavia University. A new objective function is designed based on accuracy and Hausdorff distance. Y. Yuan *et al.* [32] proposed an approach for band selection by using an evolutionary strategy to handle the high computational burden associated with groupwise-selection-based method. S. A. Medjahed *et al.* [17] proposed an approach for band selection based on Jeffries-Matusita distance and a post classification step called CEC (Classification Errors Correction).

This approach was tested on five images, Salinas, Indian Pines, Pavia University, Kennedy Space Center and Botswana. K. Sun *et al.* [29] developed a method namely Minimum Noise Band Selection used for band selection and based on the determination of the quality of each band by using a high SNR and low correlation. In [25] a split-and-merge strategy is proposed for band selection. The aim of this approach is based on two steps. The first one is to split the adjacent bands, and the second one is to merge the highly correlated bands. In [28], the authors developed an approach by using firefly algorithm and optimized extreme learning machine. The goal is to minimize the complexity of the extreme learning machine network using the firefly algorithm.

One of the most robust methods used for hyperspectral image classification and band selection is Support Vector Machine (SVM). Recently, SVM has proven its performance in the classification of hyperspectral image because its learning ability and generalization capacity by using a few samples of data.

Support vector machine (SVM) is a popular kernel-based learning method and it is widely

used for supervised classification [18]. The basic idea of SVM is to find the optimal hyperplane that separates the data by maximizing the margin between two classes. Therefore, the accuracy rate and the quality of classification model generated by the SVM depend largely of certain parameters such as the regularization parameter and kernel parameters. In C-SVM, the parameter C controls the trade-off between errors of the SVM on training data and margin maximization [8]. This parameter must be perfectly adjusted to obtain a satisfactory classification results. A large value of C , we favor a high penalty for nonseparable points and we may store many support vectors and overfit. A small value of C , produced an underfitting [4]. In addition, the choice of the kernel function and its parameter affect the classification results. If we use the Gaussian kernel, the parameter σ must be set appropriately because a large value leads to overfitting and a small value results in under-fitting. In summary, to classify the dataset using SVM, we must first determine the penalty parameter C , choose a kernel function and set the parameters of the kernel parameter [12].

Another crucial problem when using SVM is how to select the relevant or optimal input subset of features. The quality and the number of features influence directly the classification model and the accuracy rate. The selection of relevant features in the input data plays an important role in the classification. We note that not all the features are informative, some features are irrelevant and redundant, which reduce the accuracy rate. It exists many optimal feature subset and it is possible to achieve the same classification accuracy rate using different feature subset because if two features are correlated a feature can be replaced by other.

In this paper, we propose a novel approach for feature/band selection and parameter determination of support vector machine together. This approach is applied in the context of hyperspectral band selection and 2D images feature selection. The aim is to select the smallest subset of bands/features and to adjust perfectly the SVM parameter and the kernel function parameter.

The proposed approach is called WOA-SVM and it is based on Whale Optimization Algorithm (WOA)

which is a new meta-heuristic inspired from the social behavior of humpback whale and recently developed. A binary version of WOA algorithm is also proposed to deal the problem of band/feature selection and a new objective function is designed.

To evaluate the performance of the proposed approach, we have considered three hyperspectral images widely used in the literature *i.e.* Salinsa, Indian Pines and Pavia University. To demonstrate that the proposed approach can be applied to several type of dataset and not only hyperspectral images, we propose also, to test the proposed approach on the Mammographic Image Analysis Society (MIAS) dataset, and, a complete process based on image feature extraction is proposed.

The rest of paper is structured as follows: In Section 2, an overview of SVM is drawn. Section 3 describes the proposed approach. Section 4 details and discusses the experimental results generated by our approach. Finally, in section 4, the conclusion is drawn with some perspectives.

2 Overview of Support Vector Machines

Support vector machine (SVM) is a classification technique that will construct a separating hyperplane in the attribute space which maximizes the margin between the instances of different classes. Introduced by Vladimir N. Vapnik in 1995 [1, 26], SVM it becomes rather popular since. The models of SVM are closely related to Neural Networks. SVM works well in practice and can be applied to a wide variety of domains such as: bioinformatics, pattern recognition, etc.

The goal of SVM is to find the optimal hyperplane which separates clusters of vector in such a way that cases with one category of the target variable are on one side of the plane and cases with the other category are on the other size of the plane [30]. The vectors near the hyperplane are called the support vectors.

Find the optimal hyperplane is equivalent to reformulate the classification problem to an optimization problem.

We consider a problem of binary classification. We have an input space $X \subseteq \mathbb{R}^d$, where $d \in$

\mathbb{N} , an output space $Y = \{-1, +1\}$, and training set, D where:

$$D = \{(x_1, y_1), \dots, (x_N, y_N)\} \in (X \times Y), \quad (1)$$

with hyperplane:

$$f(x) = \langle w, x \rangle + b, \quad f(x) = 0. \quad (2)$$

The equation (2) represents the decision rule that, given an input element $x \in X$, if $f(x) \geq 0$ belongs to -1 class; else, x belongs to $+1$ class.

The classification problem consists in determining the hyperplane (2). The modeling of this hyperplane is managed by w and b parameters. These important w and b parameters are learned by the algorithm from the training set data.

Each observation x_i is assigned to the class corresponding to the sign of $f(x)$:

$$\begin{aligned} \langle w, x \rangle + b &\geq +1 && \text{pour } y_i = +1, \\ \langle w, x \rangle + b &\leq -1 && \text{pour } y_i = -1. \end{aligned} \quad (3)$$

Which can be combined into:

$$y_i(\langle w, x_i \rangle + b) - 1 \geq 0 \quad \forall i. \quad (4)$$

The optimal separation hyperplane represents the best choice to divide the input element of X into the output classes Y . Since the optimal separation hyperplane maximize the $\frac{2}{\|w\|}$ margin and it is equivalent to minimize $\frac{1}{2} \|w\|^2$. Finding this optimal hyperplane is equivalent to solving the following optimization problem:

$$\begin{aligned} \min_{w,b} \quad & \frac{1}{2} \|w\|^2, \\ \text{s. c.} \quad & y_i(\langle w, x_i \rangle + b) \geq 1, \\ & i \in \{1, \dots, N\}. \end{aligned} \quad (5)$$

The problem (5) is the primal form of quadratic optimization problem which is difficult to solve when the dataset is very large. Therefore, it is very interesting to transform it to a dually form by introducing the Lagrange multiplier and using the Karush–Kuhn–Tucker condition (we compute the derivatives respect with b and w variables):

$$\begin{aligned} \min_{\alpha} \quad & -\sum_{i=1}^N \alpha_i + \frac{1}{2} \sum_{i,j} y_i y_j \alpha_i \alpha_j \langle x_i, x_j \rangle, \\ \text{s. c.} \quad & \sum_{i=1}^N \alpha_i y_i = 0, \\ & \forall i \in \{1, \dots, N\}, \alpha_i \geq 0. \end{aligned} \quad (6)$$

By solving the equation (6) we determine the Lagrange multipliers α_i^* and the optimal hyperplane is given by:

$$\begin{aligned} w^* &= \sum_{i=1}^N \alpha_i y_i x_i, \\ b^* &= -\frac{1}{2} \langle w^*, x_r + x_s \rangle, \end{aligned} \quad (7)$$

$$H(x) = \text{sign}(\langle w^*, x \rangle + b^*). \quad (8)$$

where x_r and x_s are any support vector from each class satisfying :

$$\alpha_r, \alpha_s > 0, y_r = -1, y_s = 1. \quad (9)$$

Finally, by using Wolfe Dual Form and Kuhn Tucker conditions, the equation (8) can be written as:

$$H(x) = \text{sign}\left(\sum_{i=1}^N \alpha_i^* y_i (x \cdot x_i) + b\right).$$

Solving the optimization problem requires the use of optimization quadratic algorithms such as: Sequential Minimal Optimization (SMO), Trust Region, Interior Point, Active-Set, etc. In this study, the SMO method is used [6, 22, 31].

Generally, the data are not linearly separable (the case of presence of error points inside the two classes to be classified), in order to extend the SVM methodology to handle data that is not fully linear separable, the quadratic optimization problem must be relaxed by introducing positive slack variables to allow for misclassified points:

$$\begin{aligned} \min_{w,b,\xi} \quad & \frac{1}{2} \|w\|^2 + C \sum_{i=1}^N \xi_i, \\ \text{s. c.} \quad & y_i (\langle w, x_i \rangle + b) \geq 1 - \xi_i, \\ & \xi_i \geq 0, \\ & i \in \{1, \dots, N\}. \end{aligned} \quad (10)$$

The slack variables represent the error distance of the wrong points from the optimal separating hyperplane : $\xi = (\xi_1, \xi_2, \dots, \xi_N)$, where $\forall_i \xi_i \geq 0$.

The parameter C controls the trade-off between the slack variable penalty and the size of the margin. By using the Lagrange multipliers, this optimization formulation can be transformed into the following dual problem:

$$\begin{aligned} \min_{\alpha} \quad & -\sum_{i=1}^N \alpha_i + \frac{1}{2} \sum_{i,j} y_i y_j \alpha_i \alpha_j \langle x_i, x_j \rangle, \\ \text{s. c.} \quad & \sum_{i=1}^N \alpha_i y_i = 0, \\ & \forall i \in \{1, \dots, N\}, 0 \leq \alpha_i \leq C. \end{aligned} \quad (11)$$

In the dual problem, the parameter C is an upper bound for α_i .

In the cases where the data cannot be classified explicitly in the current dimensional space, the basic idea of the SVM is to map input data into a convenient space where they can be separated with an opportune hyperplane by using the kernel function:

$$\begin{aligned} K : \mathbb{R}^n \times \mathbb{R}^n &\rightarrow \mathbb{R} \\ (x_i, x_j) &\rightarrow \langle \Phi(x_i), \Phi(x_j) \rangle. \end{aligned} \quad (12)$$

With kernel function, the quadratic program can be written again this way:

$$\begin{aligned} \min_{\alpha} \quad & -\sum_{i=1}^N \alpha_i + \frac{1}{2} \sum_{i,j} y_i y_j \alpha_i \alpha_j K(x_i, x_j) \\ \text{s. c.} \quad & \sum_{i=1}^N \alpha_i y_i = 0 \\ & \forall i \in \{1, \dots, N\}, \alpha_i \geq 0. \end{aligned} \quad (13)$$

Kernel functions are a very powerful tool to solve classification problem. Several researches have been devoted to constructing a kernel more exotic and adapted to a special problem by respecting the Mercer's theorem. The most frequently used such functions are:

$$\textbf{Linear} \quad K(x_i, x_j) = (x_i \cdot x_j)$$

$$\textbf{Polynomial} \quad K(x_i, x_j) = [(x_i \cdot x_j) + 1]^d \text{ where } d \in \mathbb{N}, d \neq 0$$

$$\textbf{Gaussian} \quad K(x_i, x_j) = \frac{-\|x_i - x_j\|^2}{2\sigma^2}, \text{ also called radial basis function (RBF).}$$

3 Proposed Approach WOA-SVM

3.1 Problem Formulation

In this study, the parameter determination of SVM and the feature selection is designed as a combinatorial optimization problem. The problem is modeled as follows.

Let $F = \{F_1, \dots, F_n\}$ a set of features. Let $X = \{X_1, \dots, X_n\}$ a binary vector with:

$$X_i = \begin{cases} 1 & \text{if } F_i \text{ is selected,} \\ 0 & \text{otherwise.} \end{cases} \quad (14)$$

For SVM and kernel function parameters, we determine the upper and lower bound:

$$C \in [l_c, u_c],$$

$$\gamma \in [l_\gamma, u_\gamma].$$

The decision variable has the following form:

$$X = [X_1, \dots, X_n], [C, \gamma].$$

The basic idea is to find the optimal subset of features and the optimal parameter C and γ that provided a high classification accuracy rate. Therefore, the objective function is to maximize the accuracy rate in classifying the testing data by using SVM. This is equivalent to an optimization problem [10]. We propose to use the Whale Optimization Algorithm to solve this optimization problem.

3.2 Whale Optimization Algorithm

Whale optimization algorithm (WOA) is a new meta-heuristic optimization algorithm developed by Ali Mirjili [19]. It is mimicking the hunting behaviour of humpback whales. WOA is slightly similar to Gray Wolf Optimizer recently developed by Seyedali Mirjalili [20] and used for feature selection [16]. The difference resides in the simulation hunting behavior and the use of bubble-net attacking of humpback whales.

The process of humpback whales hunting represents the optimization process and is divided into three phases:

3.2.1 Encircling Prey

Humpback whales detect the position of the prey and encircle them. In the optimization process, the prey is the optimal solution and it is not known a priori, WOA algorithm assumes that the current best candidate solution the target prey or is close to the optimum [19]. The position of search agents are updated according to the position of the best search agent. The encircling behaviors is given as follows:

$$\vec{D} = \left| \vec{C} \cdot \vec{X}^*(t) - \vec{X}(t) \right|, \quad (15)$$

$$\vec{X}(t+1) = \vec{X}^*(t) - \vec{A} \cdot \vec{D}, \quad (16)$$

where t is the current iteration, \vec{A} and \vec{C} are coefficient vectors, X^* is the position vector of the best solution [19]. The vectors \vec{A} and \vec{C} are computed as follows:

$$\vec{A} = 2\vec{a} \cdot \vec{r} - \vec{a}, \quad (17)$$

$$\vec{C} = 2 \cdot \vec{r}, \quad (18)$$

where \vec{a} is linearly decreased from 2 to 0 over the iterations and \vec{r} is a random vector in 0 and 1.

3.2.2 Bubble-net Attacking

This phase represents the exploitation phase and it consists of two approaches: the first one is the shrinking encircling mechanism and is reached by decreasing the value of \vec{a} , and also, \vec{A} decreased. So, $|\vec{A}| \leq 1$, the new position of search agent is defined between the original position on the agent and the position of the current best agent [19].

The second approach is the spiral updating position and it is based on the computing of the distance between humpback whale and at (X, Y) and prey located (X^*, Y^*) [19]. To imitate the helix-shaped movement of humpback whale, we must use the following equation (19):

$$\vec{X}(t+1) = \vec{D}' \cdot e^{bl} \cdot \cos(2\pi l) + \vec{X}^*(t), \quad (19)$$

where $\vec{D}' = \left| \vec{X}^*(t) - \vec{X}(t) \right|$ indicates the distance between the i th whale to the prey. b is a constant for defining the shape of logarithmic spiral

and l is random number between -1 and 1 . The mathematical model of updating spiral position is as follows:

$$\vec{x}(t+1) = \begin{cases} \vec{x}^*(t) - \vec{A} \cdot \vec{B} & \text{if } p < 0.5, \\ \vec{D} \cdot e^{bl \cdot \cos(2\pi l)} + \vec{x}^*(t) & \text{if } p \geq 0.5, \end{cases} \quad (20)$$

where p is random variable between 0 and 1 .

As seen, the equation (20) simulates the shrinking circle around the prey and the spiral-shaped path. We assume that there is a probability of 50% to choose between the shrinking encircling or the spiral model to update the position of the whales during the optimization [19].

3.2.3 Search for Prey

This phase is the exploration phase, in other terms, in the approach to search the prey (optimum). Humpback whale search randomly the prey according to the position of randomly chosen search agent instead to the best search agent found so far [19]. We use $|\vec{A}| > 1$ to force search agent to move far away from a reference whale. This mechanism emphasize exploration and allow to WOA to perform global search [19]. The mathematical model is as follows:

$$\vec{B} = \left| \vec{C} \cdot \vec{X}_{\text{rand}} - \vec{x} \right|, \quad (21)$$

$$\vec{x}(t+1) = \vec{X}_{\text{rand}} - \vec{A} \cdot \vec{B}. \quad (22)$$

where \vec{X}_{rand} is random position vector (a random whale). A random search agent is chosen when $|\vec{A}| \geq 1$, while the best solution is selected when $|\vec{A}| < 1$ for updating the position of the search agents. WOA can be considered a global optimizer because it includes exploration/exploitation ability [19].

The general schema of WOA algorithm can be described as follows [19]:

Algorithm 1 Whale Optimization Algorithm

```

1: Initialization
2: Initialize randomly the search agents position
    $X(i, j)$  ( $i = 1, \dots, \text{number of search agent}, j = 1, \dots, \text{dimension}$ )
3: Compute the fitness of each search agent
4:  $X^*$  is the best solution
5: Main Loop
6: for  $t = 1$  to max number of iteration do
7:   for each search agent  $i$  do
8:     Update  $a, A, C, l$  and  $p$ 
9:     if  $p < 0.5$  then
10:      if  $|\vec{A}| < 1$  then
11:        Update the position of the current
        search agent using equation (16)
12:      else
13:        if  $|\vec{A}| \geq 1$  then
14:          Select a random search agent
           $\vec{X}_{\text{rand}}$ 
15:          Update the position of the current
          search agent using equation (22)
16:        end if
17:      end if
18:    else
19:      if  $p \geq 0.5$  then
20:        Update the position of the current
        search agent using equation (19)
21:      end if
22:    end if
23:  end for
24:  Check if any search agent goes beyond the
  search space and amend it
25:  Compute the fitness of each search agent
  Update
26:  Update  $X^*$  if there is a better solution
27: end for
28: Return  $X^*$ 

```

3.3 WOA-SVM Algorithm

The proposed approach WOA-SVM allows determining the optimal SVM parameter and the relevant subset of features, which provide a high classification accuracy rate. We slightly modified the WOA algorithm to combine it with SVM and to take into consideration the problem of parameter determination and feature selection. This is why,

a binary version of WOA is proposed to use it for feature selection. The whale position is defined as binary vector and the problem is to select or not a feature [13]. If $X_i = 1$, the feature is selected and used for classification, else, if $X_i = 0$, the feature is removed. To take account a binary variable for feature selection, we propose to use the sigmoid function as follows:

$$S(X_i) = \frac{1}{1 + \exp(-X_i)}, \quad (23)$$

$$X'_i = \begin{cases} 1 & \text{if } S(X_i) \geq 0.5, \\ 0 & \text{if } S(X_i) < 0.5. \end{cases}$$

WOA-SVM algorithm for feature selection and parameter determination is described in the following algorithm: (SEE LAST PAGE).

Lines 1 – 8 represents the initialization of parameters algorithm. Lines 3 – 5, we split the dataset into three subsets: training set (T_1), testing set (T_2) and the validation set (T_3) and in each iteration, we generate randomly a training set (T'_1) and testing set (T'_2) from (T_1) and (T_2). This strategy allows to the algorithm to avoid the problem of over fitting. The objective function is the classification error rate computed by using the SVM classifier. Line 9 is the main loop and it is determined by the maximum number of iteration. Line 10 – 29 is the second main used to update the position of each search (whale) according to the different situations. Lines 31 and 32 generate randomly training and testing set. Lines 33 – 34 remove the features that have $X'(i, j) = 0$. These features will not be used to build the classification model. Lines 36–37 compute the objective function and update the better solution X^* . finally, line 39 return the best solution X^* .

3.4 Objective Function

Generally, the fitness or objective function is the error rate or the classification accuracy rate. In [16], the authors proposed a five objective functions based on the measure of discrimination of each features and the classification accuracy rate. The authors have used the Jeffries-Matusita distance and Hausdorff distance to measure the class separability of selected features. In [7], the authors have used the F-score and the accuracy

Algorithm 2 WOA-SVM Algorithm

```

1: Initialize randomly the search agents position  $X(i, j)$  ( $i = 1, \dots, \text{number of search agent}, j = 1, \dots, \text{dimension}$ )
2: Initialize SVM parameter  $C$  and Gaussian kernel parameter  $\sigma$ 
3: Split dataset to training set  $T_1$ , testing set  $T_2$  and validation set  $T_3$ 
4: Generate randomly Training set  $T'_1$  from  $T_1$  and Test set  $T'_2$  from  $T_2$ 
5: Train SVM classifier over  $T'_1$  and evaluate its over  $T'_2$ 
6: Compute the objective function of each search agent
7:  $X^*$  is the best solution
8: for  $t = 1$  to max number of iteration do
9:   for  $i = 1$  to max number of search agent do
10:     Update  $a, A, C, l$  and  $p$ 
11:     if  $p < 0.5$  then
12:       if  $|\vec{A}| < 1$  then
13:         Update  $X(i, j)$  position of the search agent and  $[C, \gamma]$  using equation (16)
14:         Update  $X'(i, j)$  using (23)
15:       else
16:         if  $|A| \geq 1$  then
17:           Select a random search agent  $\vec{X}_{\text{rand}}$ 
18:           Update  $X(i, j)$  position of the search agent and  $[C, \gamma]$  using equation (22)
19:           Update  $X'(i, j)$  using (23)
20:         end if
21:       end if
22:     else
23:       if  $p \geq 0.5$  then
24:         Update  $X(i, j)$  position of the search agent and  $[C, \gamma]$  using equation (19)
25:         Update  $X'(i, j)$  using (23)
26:       end if
27:     end if
28:   end for
29:   Check if any search agent goes beyond the search space and amend it
30:   Generate randomly Training set  $T'_1$  from  $T_1$  and Test set  $T'_2$  from  $T_2$ 
31:    $T'_1 \leftarrow T'_1 - \{\text{features with } X'(i, j) = 0\}$ 
32:    $T'_2 \leftarrow T'_2 - \{\text{features with } X'(i, j) = 0\}$ 
33:   Train SVM classifier over  $T'_1$  and evaluate its over  $T'_2$ 
34:   Compute the objective function of each search agent
35:   Update  $X^*$  and  $[C, \gamma]$  if there is a better solution
36: end for
37: Return  $X^*$  and  $[C, \gamma]$ 

```

rate to design the fitness function. In [13], the authors have proposed an objective function based on the balance error rate and F-score. In [5], a fitness function composed of two terms is proposed. The first one is the accuracy and the second is the cost of selected features. In this study, we propose to use two objective functions.

3.4.1 First Objective Function

The first objective function J_1 is the classification accuracy rate. The value of J_1 is between 0 and 1. In this case, we select the subset of features and determine the parameters that provide the high classification accuracy rate. The objective function can be given as follows [16]:

$$\begin{cases} accuracy = \frac{\sum_{i=1}^{|N|} assess(x_i)}{|N|}, \\ assess(x_i) = \begin{cases} 1 & \text{if } classify(x_i) = c \\ 0 & \text{otherwise,} \end{cases} \end{cases} \quad (24)$$

where, N represents the instance set, x_i is the instance to be classified, $assess(x_i)$ is the classification function. It sets to 1 if the class is the true label of x_i ($classify(x_i) = c$) and 0 otherwise.

3.4.2 Second Objective Function

The second objective function J_2 is composed of two important terms. The first term of the objective function is the weight vector w of the SVM given as follows:

$$w = \sum_l \alpha_l y_l x_l, \quad (25)$$

$$f_1(X) = \sum_i X_i \times w_{F_i}, \quad (26)$$

where y_l is the label of the instance x_l , α_l is the Lagrange multipliers, X is a binary vector. If $X_i = 0$ then the feature F_i is not selected, else, $X_i = 1$ then the feature F_i is selected, w_{F_i} weight of the selected feature F_i .

The summation in equation (25) is taken over the training set. The weight vector w_{F_i} represents a measure of the ranking of the feature F_i and their influence on the margin of separation of the labels, in other terms, their influence on the separated hyperplane.

The second term of the objective function measures the ability of each feature to regroup the classes. We assure that a feature is a good feature if it regroups perfectly their instance around its gravity center. Therefore, we propose to compute for each class, the distance between their instances and its gravity center. The features

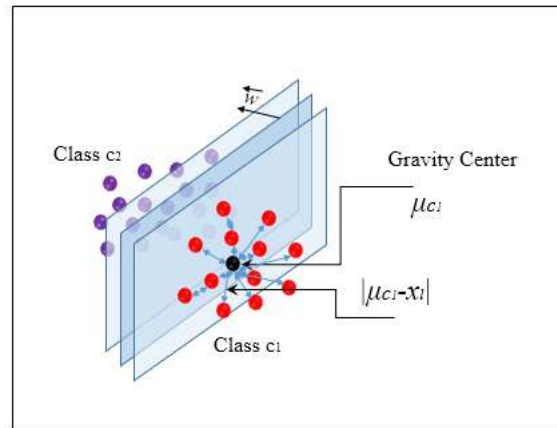


Fig. 1. The proposed measure to compute the ability of feature to regroup the instances of each class

that minimize this distance are selected. Figure 1 illustrates this idea. The second term of the objective function is given as follows:

For binary problems, the proposed $d(c_1, c_2)$ distance for two classes c_1 and c_2 , is given as follows:

$$d(c_1, c_2) = \sum_l |\mu_{c_1} - x_l^{c_1}| + \sum_m |\mu_{c_2} - x_m^{c_2}|, \quad (27)$$

where, μ_{c_1} μ_{c_2} is the gravity center (average) of class c_1 and class c_2 , $x_l^{c_1}$ instance with $y_l = c_1$, $x_m^{c_2}$ instance with $y_m = c_2$,

For multiclass problems, we defined the proposed distance as follows:

$$d_{F_i} = \frac{1}{p \times (p-1)} \sum_{i=1}^{p-1} \sum_{j=i+1}^p d(c_i, c_j), \quad (28)$$

$$f_2(X) = \sum_i X_i \times d_{F_i}, \quad (29)$$

where d_{F_i} is the distance between the gravity center and each instance of each class of the feature F_i , X is a binary vector. If $X_i = 0$ then the feature F_i is not selected, else, $X_i = 1$ then the feature F_i is selected.

The final fitness function is the weighted sum of the both terms f_1 and f_2 :

$$J_2(X) = \theta_1 \times f_1(X) + \theta_2 \times (f_2(X))^{-1}, \quad (30)$$

where θ_1 and θ_2 are weights of f_1 and f_2 respectively. We can balance between the functions and give more importance to f_1 or f_2 .

4 Experimental Results

4.1 Datasets

In order to analyze the performance of the proposed approach WOA-SVM, we conduct two experimentations. The first experimentation is conducted under three hyperspectral images very used in the literature: Salinas, Indian Pines and Pavia University.

4.1.1 Salinas Image

The first hyperspectral image used in this experimentation is known as the Salinas image, which is acquired by AVIRIS (Airborne Visible InfraRed Imaging Spectrometer) over the Salinas Valley, Southern California, USA. This image is 512×217 pixels and composed of 224 bands in the spectral range $0.4 \mu m$ to $2.5 \mu m$. It contains 16 ground truth classes: Broccoli-green-weeds-1, Broccoli-green-weeds-2, Fallow, Fallow-rough-plow, Fallow-smooth, Stubble, Celery, Grapes-untrained, Soil-vinyard-develop, Corn-senesced-green-weeds, Lettuce-romaine-4wk, Lettuce-romaine-5wk, Lettuce-romaine-6wk, Lettuce-romaine-7wk, Vineyard-untrained and Vineyard-vertical-trellis.

4.1.2 Indian Pines Image

The second hyperspectral image is called Indian Pines, which is taken over the agricultural area of Northwestern of Indiana, USA. This image is 145×145 and composed of 220 bands in the spectral range $0.5 \mu m$ to $2.5 \mu m$. It was acquired by AVIRIS and it contains 16 ground truth classes: Alfalfa, Corn-notill, Corn-mintill, Corn, Grass-pasture, Grass-trees, Grass-pasture-mowed, Hay-windrowed, Oats, Soybean-notill, Soybean-mintill, Soybean-clean, Wheat, Woods, Buildings-Grass-Trees-Drives, and Stone-Steel-Towers.

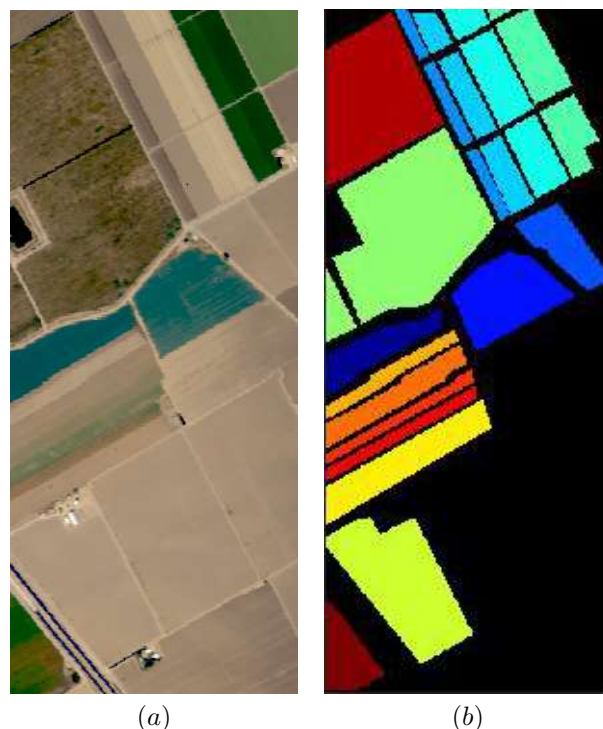


Fig. 2. Salinas hyperspectral image. (a) Color compose. (b) Ground truth

4.1.3 Pavia University Image

The third hyperspectral image used in this study is the Pavia University image taken over the urban area of Pavia University. This image is 610×340 pixels and it was collected by ROSIS. It composed of 103 bands in the spectral range from $0.4 \mu m$ to $0.86 \mu m$. The ground truth differentiates 9 classes: Asphalt, Meadows, Gravel, Trees, Painted Metal Sheets, Bare Soil, Bitumen, Self-Blocking Bricks, and Shadows.

The second experimentation is conducted under mammographic image dataset. We use MIAS dataset (Mammography Image Analysis Society) which is widely known dataset. MIAS dataset contains 322 images classed into three categories: normal, benign and malign. The category normal is a set of mammogram image without breast cancer. The benign and malign is a collection of abnormal mammogram images. MIAS dataset contains 208 normal images, 63 benign images

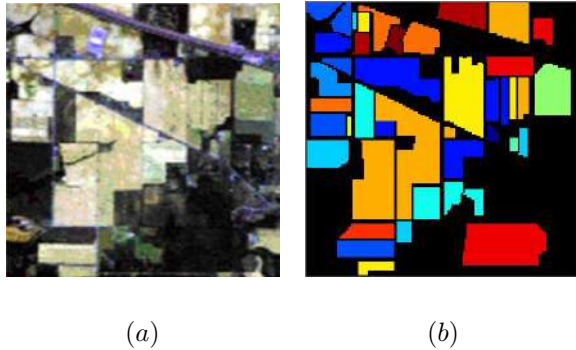


Fig. 3. Indian Pine hyperspectral image. (a) Color image. (b) Ground truth

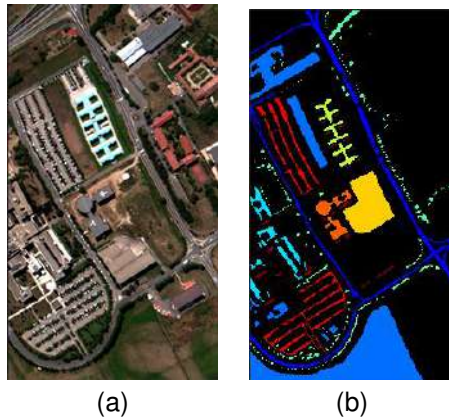


Fig. 4. Pavia University hyperspectral image. (a) Color Image. (b) Ground truth.

and 51 malign images. The size of each image is 1024×1024 pixels.

To extract the region of interest (ROI) in the image, MIAS dataset provides the coordinates X, Y and the radius in pixels of each abnormal images. Figure 5 shows some MIAS mammogram images.

4.2 Parameters Setting

For each classifier system, the number of samples used for training and testing phases must be determined. For the first experimentation over the hyperspectral images, we split the pixels into two subsets, 10% of the pixels are used for the training phase and the remaining 90% of pixels are used for test phase.

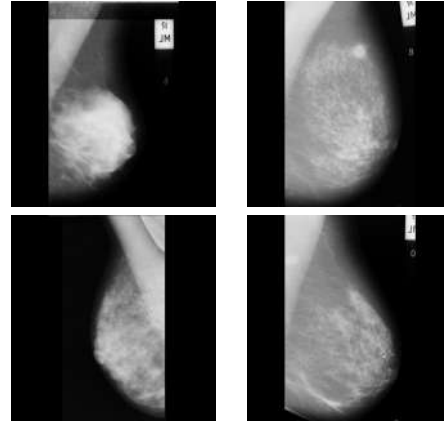


Fig. 5. Some mammogram images taken from MIAS dataset

In the second experimentation, , we propose to split the dataset into three subsets: training set, testing set and validation set. 50% of samples are used for training, 20% of samples are used for the test and the remaining 30% of samples are used for validation.

The parameters of WOA-SVM are adjusted as follows: the number of search agent is 20. The algorithm stops when the number of iterations fixed to 500 is achieved.

The search interval of SVM parameter C and Gaussian kernel parameter σ are:

$$C \in [1, 500],$$

$$\gamma \in [0.01, 50].$$

These parameters have demonstrated their performance and were chosen by experimentation for the both datasets.

4.3 Application in Hyperspectral Images

In this section, we analyze the results obtained by the proposed approach WOA-SVM in term of classification average accuracy rate (AA), classification overall accuracy rate (OA), individual class accuracy rate and the number of selected bands. Table 1 describes the classification average accuracy rate, classification overall accuracy rate and the number of selected bands obtained by WOA-SVM.

Table 1. The results obtained by the WOA-SVM approach

| Hyperspectral image | Salinas | | Indian Pines | | Pavia University | |
|--------------------------|---------|-------|--------------|-------|------------------|-------|
| Objective function | J_1 | J_2 | J_1 | J_2 | J_1 | J_2 |
| AA | 95,96 | 96,22 | 72,41 | 71,87 | 87,77 | 87,16 |
| OA | 91,35 | 91,85 | 72,60 | 74,61 | 89,24 | 88,72 |
| Number of selected bands | 148 | 112 | 120 | 98 | 51 | 43 |

Table 2. Classification accuracy rate obtained by WOA-SVM over Salinas scene and compared with previous works

| Class | Feature Selection Approaches | | | | | | | This Study | |
|--------------------------|------------------------------|-------|--------|-------|-------|-------|-------|------------|-------|
| | mRmR | cmim | Relief | GA | PSO | GSA | BBA | J_1 | J_2 |
| Brocoli_green_weeds_1 | 93,97 | 98,69 | 91,98 | 98,26 | 99,17 | 99,75 | 98,67 | 98,32 | 99,42 |
| Brocoli_green_weeds_2 | 95,84 | 99,56 | 61,86 | 99,60 | 99,55 | 99,77 | 99,41 | 99,60 | 99,73 |
| Fallow | 85,39 | 98,73 | 94,18 | 98,04 | 99,40 | 99,57 | 98,73 | 99,30 | 99,16 |
| Fallow_rough_plow | 99,73 | 99,73 | 89,25 | 99,73 | 99,40 | 99,28 | 99,40 | 99,73 | 99,40 |
| Fallow_smooth | 94,91 | 96,13 | 97,34 | 95,01 | 98,50 | 98,13 | 98,31 | 96,45 | 97,70 |
| Stubble | 99,27 | 99,81 | 98,39 | 99,94 | 99,91 | 99,87 | 99,95 | 99,94 | 99,96 |
| Celery | 95,98 | 99,44 | 61,03 | 99,37 | 99,72 | 99,67 | 99,58 | 99,37 | 99,67 |
| Grapes_untrained | 76,54 | 82,54 | 77,23 | 79,34 | 81,70 | 81,42 | 79,58 | 83,25 | 80,08 |
| Soil_vinyard_develop | 97,76 | 97,92 | 98,57 | 99,38 | 99,48 | 99,57 | 99,62 | 99,27 | 99,81 |
| Corn_green_weeds | 75,60 | 91,80 | 78,42 | 91,61 | 96,49 | 95,57 | 95,17 | 91,69 | 95,63 |
| Lettuce_roumaine_4wk | 60,94 | 93,33 | 92,05 | 92,05 | 99,84 | 98,43 | 98,90 | 94,04 | 98,28 |
| Lettuce_roumaine_5wk | 86,64 | 99,74 | 92,54 | 98,83 | 99,56 | 99,91 | 100 | 99,87 | 100 |
| Lettuce_roumaine_6wk | 96,45 | 98,23 | 96,45 | 97,82 | 98,72 | 99,45 | 98,54 | 97,82 | 99,64 |
| Lettuce_roumaine_7wk | 92,41 | 94,39 | 92,64 | 93,57 | 97,97 | 96,41 | 97,97 | 94,16 | 96,42 |
| Vinyard_untrained | 57,33 | 60,00 | 47,52 | 57,88 | 70,90 | 72,85 | 67,75 | 63,54 | 71,29 |
| Vinyard_vertical_trellis | 76,42 | 98,27 | 82,16 | 97,86 | 99,07 | 99,63 | 98,15 | 98,06 | 99,17 |
| AA | 86,57 | 94,27 | 84,48 | 93,64 | 96,21 | 96,20 | 95,61 | 94,65 | 95,96 |
| OA | 83,79 | 89,55 | 79,28 | 88,57 | 91,70 | 91,86 | 90,67 | 90,35 | 91,35 |

Table 1 contains the AA, OA the number of selected bands obtained by the proposed approach WOA-SVM for each hyperspectral image and under the both objective function J_1 and J_2 . The first row of table 1 represents the name of the hyperspectral images. The columns 2, 3 and 4 are the AA, OA and the number of selected bands obtained by the objective function J_1 and J_2 .

The results described in table 1 show the efficacy of the proposed approach. As observed in table 1, the outcome of WOA-SVM under the three hyperspectral images was quite appropriate, we record 95.96% and 96,22% of average accuracy in Salinas scene for the both objective functions J_1 and J_2 respectively. For Indian Pines scene,

the results are very satisfying, as best accuracy of 72,41% and 71,87% as average accuracy. The performance of Pavia University scene shows that the proposed approach attaining 87,77% and 87,16% for J_1 and J_2 respectively as maximum average accuracy rate. The analysis of the results obtained by WOA-SVM in terms of number of selected bands is better and we remark and advantage of the results using J_2 compared to J_1 .

To demonstrate the performance of the proposed approach WOA-SVM, we compare our approach to several feature selection approaches defined in the literature.

Tables 2, 3 and 4 compare the AA, OA and individual class accuracy rate between WOA-SVM

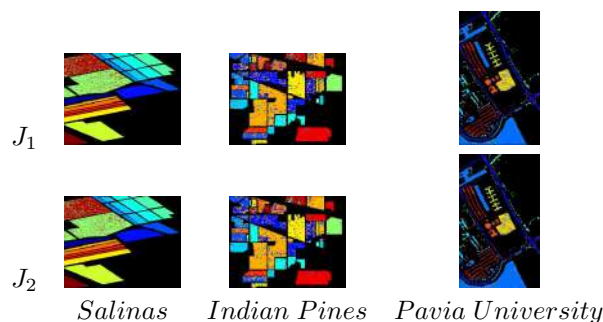


Fig. 6. The classification maps obtained by our approach for the five objective functions and applied to Pavia University, Indian Pines and Salinas hyperspectral data sets

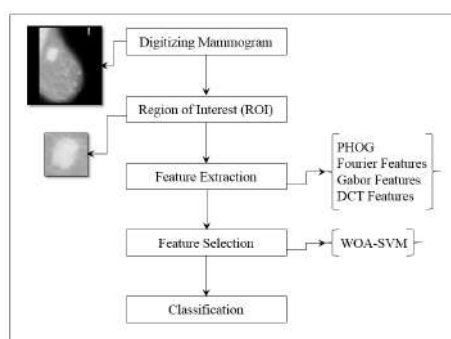


Fig. 7. Framework of the proposed process

and other approaches for each hyperspectral image. The results is reported from [15], [17], [14].

Tables 2, 3 and 4 shows the comparison of the AA, OA and individual class accuracy obtained by WOA-SVM and some previous works. The AA, OA and individual class accuracy rate for Salinas scene, Indian Pines scene and Pavia University scene respectively. The first column of tables represents the name of classes and the last two rows represent the AA and OA. The second column represents the band selection approaches used for comparison and it contains seven sub columns each one represents a band selection approach. The third column represents the results obtained by using all the bands under two classifiers: k-nearest neighbor and SVM. The last column represents the results obtained by our study for the both objective function J_1 and J_2 .

For the comparison, we use seven filter approaches: mRMR (Max-Relevance Min-Redundancy), CMIM (Conditional Mutual Info Maximisation), and Relief. Also, we compare the proposed approach to four wrapper approaches: PSO (Particle Swarm Optimization [?]), BGSA (Binary Gravitational Search Algorithm [24]), BBA (Binary Bat Algorithm [21]) and GA (Genetic Algorithm [5]). In addition, we propose to compare our approach to K-nearest neighbor and Support Vector machines classifiers by using all the features (without bands selection).

As shows in tables 2, 3 and 4, the results obtained by WOA-SVM using the objective function J_1 and J_2 perform significantly better than the other approach with a slight advantage to J_2 in Salinas and Indian Pines hyperspectral images. All the wrapper approaches have provided good results compared to filter approaches; this is because wrapper approaches make a repetitive call to a classifier system. Wrapper approaches use accuracy rate as an objective function and attempt to optimize this last contrary to filter approaches, which are independent to the classifier system.

By analyzing the experimental results, we conclude that WOA-SVM performs well and provides satisfying results compared to several feature selection approach.

The visual results (classification maps) is illustrated in figure 6.

Figure 6 show the classification map generated by the proposed approach WOA-SVM for the both objective function.

4.4 Application in Mammography Image Dataset

In this section, we analyze the performance of the proposed approach WOA-SVM in MIAS dataset. Figure 7 illustrates the proposed process to classify mammogram image.

The process of mammogram image classification contains two important steps: feature extraction and feature selection. Feature extraction step consists to extract the feature of each image. In this study, we propose to combine four types of features obtained by four feature extraction methods:

Table 3. Classification accuracy rate obtained by WOA-SVM over Indian Pines scene and compared with previous works

| Class | Feature Selection Approaches | | | | | | | | | This Study | |
|------------------------|------------------------------|-------|--------|-------|-------|-------|-------|-------|-------|------------|-------|
| | mRmR | cmim | Relief | GA | PSO | GSA | BBA | | knn | J_1 | J_2 |
| Alfalfa | 0,00 | 16,22 | 0,00 | 0,00 | 60,71 | 50,57 | 60,71 | 84,44 | 21,63 | 60,70 | 60,71 |
| Corn-on till | 31,67 | 55,12 | 54,42 | 52,93 | 60,91 | 69,89 | 61,61 | 36,79 | 52,60 | 56,83 | 62,54 |
| Corn-min till | 18,37 | 47,89 | 24,10 | 28,16 | 64,65 | 69,67 | 56,42 | 40,67 | 51,21 | 59,44 | 60,04 |
| Corn | 1,58 | 40,53 | 8,42 | 14,74 | 46,15 | 46,85 | 46,15 | 72,31 | 31,06 | 43,36 | 42,66 |
| Grass/pasture | 70,28 | 83,46 | 57,11 | 82,17 | 88,62 | 88,96 | 89,31 | 80,40 | 85,28 | 87,59 | 85,86 |
| Grass/tree | 66,95 | 93,15 | 86,46 | 94,35 | 93,15 | 95,89 | 94,97 | 78,93 | 96,91 | 93,61 | 96,12 |
| Grass/pasture-mowed | 4,35 | 86,96 | 0,00 | 17,39 | 70,23 | 88,23 | 52,94 | 95,38 | 78,27 | 88,24 | 88,24 |
| Hay-windrowed | 51,44 | 96,08 | 99,22 | 96,87 | 98,60 | 97,90 | 95,47 | 76,11 | 98,96 | 94,08 | 98,26 |
| Oats | 0,00 | 6,25 | 0,00 | 0,00 | 50,00 | 50,00 | 50,00 | 100 | 0 | 58,33 | 33,33 |
| Soybeans-no till | 13,24 | 66,84 | 41,65 | 59,38 | 70,68 | 78,93 | 66,95 | 53,80 | 70,83 | 71,75 | 72,77 |
| Soybeans-min till | 62,07 | 75,51 | 67,92 | 74,13 | 77,66 | 82,41 | 75,49 | 39,73 | 77,75 | 73,93 | 76,65 |
| Soybeans-clean till | 11,58 | 45,47 | 12,63 | 32,00 | 59,26 | 58,98 | 58,42 | 49,12 | 44,43 | 52,53 | 53,65 |
| Wheat | 66,46 | 92,07 | 91,46 | 94,51 | 90,74 | 91,86 | 95,12 | 91,42 | 96,35 | 91,87 | 93,50 |
| Woods | 92,98 | 94,76 | 90,51 | 92,98 | 91,17 | 42,38 | 92,35 | 81,31 | 94,97 | 90,38 | 91,83 |
| Bldg-grass-tree-drives | 10,68 | 11,33 | 7,44 | 11,65 | 48,70 | 46,55 | 48,70 | 47,05 | 18,13 | 44,83 | 42,67 |
| Stone-steel towers | 18,67 | 65,33 | 25,33 | 73,33 | 92,85 | 89,28 | 87,50 | 94,11 | 81,34 | 91,07 | 91,07 |
| AA | 32,52 | 61,06 | 41,68 | 51,54 | 72,75 | 71,77 | 70,76 | 70,10 | 62,49 | 72,41 | 71,87 |
| OA | 46,59 | 69,48 | 57,67 | 64,86 | 74,55 | 74,03 | 73,89 | 56,42 | 71,01 | 72,60 | 74,61 |

Table 4. Classification accuracy rate obtained by WOA-SVM over Pavia University scene and compared with previous works

| Class | Feature Selection Approaches | | | | | | | | | This Study | |
|----------------------|------------------------------|-------|--------|-------|-------|-------|-------|-------|-------|------------|-------|
| | mRmR | cmim | Relief | GA | PSO | GSA | BBA | | | J_1 | J_2 |
| Asphalt | 83,39 | 79,89 | 83,52 | 82,28 | 89,21 | 90,44 | 89,77 | 84,93 | 86,53 | 89,52 | 89,67 |
| Meadows | 95,82 | 93,17 | 94,18 | 96,91 | 90,98 | 91,64 | 92,62 | 70,79 | 97,93 | 95,48 | 95,08 |
| Gravel | 53,21 | 53,93 | 47,38 | 43,75 | 72,85 | 77,38 | 73,01 | 67,16 | 70,00 | 74,60 | 73,65 |
| Trees | 77,20 | 60,03 | 53,47 | 79,73 | 88,52 | 87,87 | 85,42 | 97,77 | 83,12 | 89,83 | 89,40 |
| Painted Metal Sheets | 99,54 | 98,88 | 89,78 | 99,16 | 99,75 | 94,25 | 96,75 | 99,46 | 99,08 | 99,75 | 99,26 |
| Bare Soil | 48,78 | 26,47 | 62,72 | 58,25 | 70,45 | 70,70 | 71,00 | 92,83 | 58,83 | 71,90 | 70,21 |
| Bitumen | 81,11 | 78,67 | 75,75 | 75,47 | 80,59 | 84,96 | 83,20 | 90,42 | 85,62 | 85,46 | 84,21 |
| Self-Bloking Bricks | 85,71 | 81,74 | 79,97 | 83,47 | 81,70 | 80,02 | 80,35 | 92,78 | 87,58 | 83,39 | 82,99 |
| Shadows | 100 | 75,33 | 100 | 100 | 98,01 | 99,82 | 97,82 | 98,11 | 99,87 | 100 | 100 |
| AA | 80,53 | 71,98 | 76,31 | 79,89 | 85,78 | 86,12 | 85,77 | 88,25 | 85,40 | 87,77 | 87,16 |
| OA | 83,82 | 77,29 | 81,81 | 84,57 | 87,38 | 87,97 | 87,28 | 81,01 | 97,85 | 89,24 | 88,72 |

— Features from F_1 to F_{680} are obtained by Pyramid Histogram of Oriented Gradients,

— Features from F_{698} to F_{755} are obtained by Gabor,

— Features from F_{681} to F_{688} are obtained by Fourier,

— Features from F_{756} to F_{759} are obtained by DCT.

The total number of features is 759 and not all the features can be used for the classification.

The second step of the process is to select from 759 features the most informative and relevant features to provide a high classification accuracy rate and a good classification model. We use the proposed approach WOA-SVM to select the optimal subset of features. Table 5 and table 6 table the results obtained by WOA-SVM compared to other feature selection approaches.

From Table 5 and table 6, we clearly observed that WOA-SVM achieved a high accuracy rate. The maximum of accuracy obtained under the validation test is 100%, the mean accuracy rate is 98.82% obtained by the objective function J_1 and 98.71% by J_2 . A small advantage is observed for J_2 with a minimum of accuracy 96.61% compared to the minimum of accuracy provided by J_2 (94.91%).

The experimental results demonstrated the superiority of WOA-SVM with regards to other approaches.

This paper can be summarized with the following points:

1. The proposed approach is called WOA-SVM and is based on a recent meta heuristic called Whale Optimization Algorithm which mimicking the hunting behaviour of humpback whales.
2. The problem of feature/band selection and parameters determination of SVM and kernel function is designed as a combinatorial optimization problem.
3. A binary version of WOA is developed to deal the problem of feature selection.
4. A new fitness function is designed and it is composed of two important terms: the first term is based on the weight vector w generated by SVM which as the ranking criterion. This criterion represents the ability of a feature to affect the margin of separation (optimal hyperplane). The second term computes the ability of a feature to regroup the instances of the same class.

5. The experimental results showed that the proposed approach WOA-SVM is not time-consuming and provided a high classification accuracy rate compared to other feature selection approaches.

5 Conclusion

In this paper, we propose a novel approach for feature/band selection and parameter determination of support vector machine applied in the context of band selection in hyperspectral image classification and feature selection in 2D image. The proposed approach is called WOA-SVM and it used the whale optimization algorithm which is a recent meta heuristic inspired from the social behaviors of humpback whale. A new objective function based on the SVM weight vector and a new measure has been developed. The paper presents two contributions: the first one is the tuning of SVM parameter C and the Gaussian kernel parameter σ . The second is to select the relevant and informative features/bands which provide the high classification accuracy rate. The proposed approach was applied on three widely used hyperspectral images: Salinas, Indian Pines, and Pavia University. In addition, we propose to conduct a second experimentation under MIAS dataset which is a set of mammogram images. The experimental results show that WOA-SVM produced satisfactory results and was adequate to determine the optimal parameters of SVM. The stability of WOA algorithm was verified. We executed the algorithm 100 times and computed the classification accuracy rate. The results indicate that the proposed approach is very stable.

WOA-SVM was compared with several filter and wrapper approaches, and two classifier using all the features. The classification accuracy rate obtained by WOA-SVM indicated that this approach performs significantly advantageously with regards to other. These results provide encouragement to analysis WOA-SVM in other application such as the gene selection in DNA microarray dataset for cancer diagnosis.

Table 5. Classification accuracy rate and computational time obtained by WOA-SVM using two objective function.

| Accuracy rate (%) : WOA-SVM | Time | | | | |
|-----------------------------|---------------|---------------|-------|------|-------|
| | $fitness J_2$ | $fitness J_1$ | | | |
| | Best | Mean | Worst | Best | Mean |
| Worst | | | | | |
| MIAS | 100 | 98.82 | 94.91 | 100 | 98.71 |
| 96.61 | 2.81 | | | | |

Table 6. Comparison of classification accuracy rate obtained by BGWO and other approaches for MIAS dataset.

| MIAS : Database - Accuracy (%) | |
|--------------------------------|-------|
| Filter Approaches | |
| MIM | 67.52 |
| mRMR | 92.37 |
| MIFS | 76.27 |
| JMI | 98.83 |
| CMIM | 76.27 |
| Gini Index | 86.44 |
| Relief | 99.92 |
| Wrapper Approaches | |
| PSO | 100 |
| BGSA | 89.32 |
| BBA | 91.18 |
| GA | 95.96 |
| Using All Features | |
| KNN | 93.62 |
| SVM | 99.14 |
| This study | |
| WOA-SVM J_1 | 100 |
| WOA-SVM J_2 | 100 |

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